
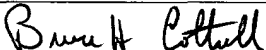


UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No.: S-99,952	
		First Inventor or Application Identifier: Sascha Kreiskott	
		Title: HIGH CURRENT DENSITY ELECTRODPOLISHING IN THE PREPARATION OF HIGHLY SMOOTH SUBSTRATE TAPES FOR COATED CONDUCTORS	
		Express Mail Label No.: ET461825965US	
APPLICATION ELEMENTS		ADDRESS TO:	
1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g. PTO/SB/17) (submit an original and a duplicate for fee processing) 2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages: 13] <input checked="" type="checkbox"/> Descriptive title of the Invention <input type="checkbox"/> Cross References to Related Applications <input checked="" type="checkbox"/> Statement Regarding Fed sponsored R&D <input type="checkbox"/> Reference to sequence listing, a table or a computer program listing appendix <input checked="" type="checkbox"/> Background of the Invention <input checked="" type="checkbox"/> Brief Description of the Drawings (if filed) <input checked="" type="checkbox"/> Detailed Description <input checked="" type="checkbox"/> Claim(s) <input checked="" type="checkbox"/> Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawings(s) (35 U.S.C. 113) [Total Sheets: 1] <input type="checkbox"/> Formal <input checked="" type="checkbox"/> Informal 5. <input type="checkbox"/> Declaration & Power of Attorney [Total Pages:] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 63(d) (for continuation/divisional with Box 16 completed) c. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33 (b).		Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 6. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies): or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies	
		ACCOMPANYING APPLICATION PARTS	
		8. <input type="checkbox"/> Assignment Papers (cover sheet & documentation) 9. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) 10. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (should be specifically itemized) 13. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 14. <input type="checkbox"/> Nonpublication Request and Certification Under 35 U.S.C. 122(b)(2)(b)(i) 15. <input type="checkbox"/> Other:	
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application S.N. _____ / _____. Prior application information: Examiner: _____ Group/Art Unit: _____ For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
16. CORRESPONDENCE ADDRESS			
<input type="checkbox"/> Customer Number or Bar Code Label		OR <input type="checkbox"/> Correspondence Address Below	
 35068 PATENT TRADEMARK OFFICE (Insert Customer No. or Attach Bar Code Label here)			
Name: Bruce H. Cottrell Address: Los Alamos National Laboratory LC/IP, MS A187 City: Los Alamos State: New Mexico Zip Code: 87545 Country: United States Telephone: (505) 665- Fax: (505) 665-4424			
Name (Print/Type): Bruce H. Cottrell		Registration No. (Attorney/Agent): 30,620	
Signature: <i>Bruce H Cottrell</i>		Date: July 21, 2003	

 22151 U.S. PTO
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FEE TRANSMITTAL For FY 2003				Complete if Known																																																																																																																									
<i>Patent fees are subject to annual revision (submit an original and a duplicate for fee processing)</i>				Application Number: _____ Filing Date: _____ First Named Inventor: Sascha Kreiskott Examiner Name: _____ Group/Art Unit: _____ Attorney Docket No.: S-99,952																																																																																																																									
METHOD OF PAYMENT			FEE CALCULATION (continued)																																																																																																																										
1. <input checked="" type="checkbox"/> The commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: 12-2150 Deposit Account Name: Los Alamos National Laboratory <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 C.F.R. 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27			3. ADDITIONAL FEES <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; width: 15%;">Large Entity Fee</th> <th style="text-align: left; width: 15%;">Small Entity Fee</th> <th style="text-align: left; width: 55%;">Fee Description</th> <th style="text-align: right; width: 15%;">Fee Paid</th> </tr> </thead> <tbody> <tr> <td>\$130</td> <td>\$65</td> <td>Surcharge – late filing fee or oath</td> <td></td> </tr> <tr> <td>\$50</td> <td>\$25</td> <td>Surcharge – late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>\$2,520</td> <td>\$2,520</td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td>\$110</td> <td>\$55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>\$410</td> <td>\$205</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>\$930</td> <td>\$465</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>\$1,450</td> <td>\$725</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>\$1,970</td> <td>\$985</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>\$320</td> <td>\$160</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>\$320</td> <td>\$160</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>\$280</td> <td>\$140</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>\$110</td> <td>\$55</td> <td>Petition to revive – unavoidable</td> <td></td> </tr> <tr> <td>\$110</td> <td>\$55</td> <td>Terminal Disclaimer</td> <td></td> </tr> <tr> <td>\$1,300</td> <td>\$650</td> <td>Petition to revive – unintentional</td> <td></td> </tr> <tr> <td>\$130</td> <td>\$130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>\$50</td> <td>\$50</td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>\$180</td> <td>\$180</td> <td>Submission of Information Disclosure Statement</td> <td></td> </tr> <tr> <td>\$750</td> <td>\$375</td> <td>Filing a submission after final rejection (37 CFR 1.129 (a))</td> <td></td> </tr> <tr> <td>\$750</td> <td>\$375</td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td></td> </tr> <tr> <td>\$100</td> <td>\$100</td> <td>Certificate of Correction</td> <td></td> </tr> <tr> <td>\$300</td> <td>\$300</td> <td>Publication fee for early, voluntary, or normal publication</td> <td></td> </tr> <tr> <td>\$750</td> <td>\$375</td> <td>Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td colspan="3">Other fee (specify) _____</td> <td></td> </tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (3)</td> <td style="text-align: right;">\$0</td> </tr> <tr> <td colspan="3" style="text-align: right;">Reduced by Basic Filing Fee Paid</td> <td></td> </tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL FROM 1</td> <td style="text-align: right;">\$375</td> </tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL FROM 2</td> <td style="text-align: right;">\$0</td> </tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL FROM 3</td> <td style="text-align: right;">\$0</td> </tr> <tr> <td colspan="3" style="text-align: right;">TOTAL AMOUNT OF PAYMENT</td> <td style="text-align: right;">\$375</td> </tr> </tbody> </table>			Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid	\$130	\$65	Surcharge – late filing fee or oath		\$50	\$25	Surcharge – late provisional filing fee or cover sheet		\$2,520	\$2,520	For filing a request for reexamination		\$110	\$55	Extension for reply within first month		\$410	\$205	Extension for reply within second month		\$930	\$465	Extension for reply within third month		\$1,450	\$725	Extension for reply within fourth month		\$1,970	\$985	Extension for reply within fifth month		\$320	\$160	Notice of Appeal		\$320	\$160	Filing a brief in support of an appeal		\$280	\$140	Request for oral hearing		\$110	\$55	Petition to revive – unavoidable		\$110	\$55	Terminal Disclaimer		\$1,300	\$650	Petition to revive – unintentional		\$130	\$130	Petitions to the Commissioner		\$50	\$50	Petitions related to provisional applications		\$180	\$180	Submission of Information Disclosure Statement		\$750	\$375	Filing a submission after final rejection (37 CFR 1.129 (a))		\$750	\$375	For each additional invention to be examined (37 CFR 1.129(b))		\$100	\$100	Certificate of Correction		\$300	\$300	Publication fee for early, voluntary, or normal publication		\$750	\$375	Request for Continued Examination (RCE)		Other fee (specify) _____				SUBTOTAL (3)			\$0	Reduced by Basic Filing Fee Paid				SUBTOTAL FROM 1			\$375	SUBTOTAL FROM 2			\$0	SUBTOTAL FROM 3			\$0	TOTAL AMOUNT OF PAYMENT			\$375
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